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Complete if Known Substitute for form 1449A/B/PTO Application Number 10/810,305 INFORMATION DISCLOSURE Filing Date March 26, 2004 STATEMENT BY APPLICANT First Named Inventor Atsuhiko Ogura N/A Art Unit (Use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 04703/0201103-US0 1 of 1 Attorney Docket Number

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA**	US-6,207,229-B1	03-27-2001	Bawendi et al.	
	AB**	US-6,319,426-B1	11-20-2001	Bawendi et al.	
	AC**	US-5,990,479-B1	11-23-1999	Weiss et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	634	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
	Cite No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	7⁰	
	BA	JP-2002-121549-A1	04-26-2002	Mitsubishi Chemicals Corp.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (**) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. OR Pursuant to the Notice issued by the United States Patent and Trademark Office dated July 11, 2003 waiving the requirements of 37 C.F.R. § 1.98(a)(2)(i), a copy of the United States Patent on PTO/SB08 is not submitted.¹ Applicant's unique citation essignation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (boo magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
7	CA	Andrey L. Rogach, et al., "Synthesis and Characterization of a Size Series of Extremely Small Thiol-Stabilized CdSe Nanocrystals", J. Phys. Chem. B, 1999, 103, 3065-3069.			
	СВ	Dmitri V. Talapin, et al., "Synthesis and surface modification of amino-stabilized CdSe, CdTe and InP nanocrystals", Colloids and Surfaces, A: Physicochemical and Engineering Aspects, 202 (2002) 145-154.			
Z	CC	Xingyong Wu et al., "Immunogluorescent labeling of cancer marker Her2 and other cellular targets with semiconductor quantum dots", Nature Biotechnology, published online December 2, 2002, pages 1-6.			

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